

OCT 2 8 2004

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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#### PATENT APPLICATION

Applicants : Howard W. Lutnick et al.

Application No.: 10/699,858 Confirmation No.: 5127

Filed: October 31, 2003

For : KEYBOARD TRADING SYSTEM

Group Art Unit: 2661

New York, New York October 26, 2004

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# TRANSMITTAL LETTER FOR SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is a Second Supplemental Information
Disclosure Statement in the above-identified application. This
Statement is submitted:

- [ ] within three months of the application filing date;
- [X] more than three months from the application filing date but before the mailing date of the first Office Action on the merits.

In accordance with 37 C.F.R. § 1.97, submission of this Statement requires no fee. However, if for any reason a fee is due, the Director is hereby authorized to charge payment of any fees required in connection with this Supplemental Information Disclosure Statement to Deposit Account No. 06-1075. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,

Hassan Albakri

(Limited Recognition)
Agent for Applicants
FISH & NEAVE LLP
Customer No. 1473
1251 Avenue of the Americas

1251 Avenue of the Americas New York, New York 10020-1105 Tel.: (212) 596-9000

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## SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56, 1.97 and 1.98, applicants wish to call the attention of the Examiner to the following document:

#### U.S. PATENT

Kramer 5,038,284 (08/06/91)

The aforementioned document is listed on the accompanying Form PTO-1449 (submitted in duplicate), and a copy of the document is enclosed herewith.

It is respectfully requested that this document be
(1) fully considered by the Patent and Trademark Office during
examination of this application; and (2) printed on any patent

which may issue on this application. Applicants respectfully request that a copy of Form PTO-1449, as considered and initialed by the Examiner, be returned with the next communication.

Respectfully submitted,

Hassan Albakri (Limited Recognition) Agent for Applicants FISH & NEAVE LLP

Customer No. 1473 1251 Avenue of the Americas

New York, New York 10020-1105 Tel.: (212) 596-9000

I hereby certify that this Correspondence is being deposited with the U.S. Postal Sarvice or First Class Mail in an envalope Acareaced to: Commissioner for Patents P.O. Cop. 4750 Alexanuna, VA 22313-1450 on

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EXAMINER

/Paul Shumate/

DATE CONSIDERED

02/19/2008